SEC Form 4

FORM 4

obligations may continue. See Instruction 1(b)

\$117.63

Explanation of Responses:

Stock

Option

Remarks:

Check this box if no longer subject to Section 16. Form 4 or Form 5

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549 STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

OMB APPROVAL

OMB Number:	3235-0287					
Estimated average burden						
hours per response:	0.5					

D

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934

1. Name a <u>Gano F</u>		f Reporting Person*				r Name and Tic ROCRINE				NC	[NBI		elationship o ck all applic Directo	able) r	g Perso	10% Ow	ner
(Last) (First) (Middle) 12780 EL CAMINO REAL				3. Date of Earliest Transaction (Month/Day/Year) 02/05/2021					2	X Officer (give title Other (specify below) below) Chief Business Development Off							
(Street) SAN DI			92130 (Zip)		4. If Amendment, Date of Original Filed (Month/Day/Year) 02/09/2021					6. In Line					1		
Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned																	
1. Title of Security (Instr. 3) Date (Month/D			ay/Year)	, Transaction Code (Instr. r) 8) 4. Securities Acquired Disposed Of (D) (Instr. 5)				5. Amount of Securities Beneficially Owned Following		6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)		7. Nature of Indirect Beneficial Ownership					
							Code	v	Amount		(A) or (D)	Price	Price Reported Transaction(s) (Instr. 3 and 4)			(Instr. 4)	
Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)																	
													Owned				

Date Exercisable

(1)

Expiration Date

02/08/2031

Title

Common

Stock

This amendment is being filed to correct a change in the number of shares in the	ne option grant reported in Table II on the reporting p	erson's original Form 4 filed February 9, 2021.

(A)

49,049

(D)

1. Represents option of which 1/48th of the shares underlying the option becomes vested and exercisable on March 8, 2021 and an additional 1/48th of the shares underlying the option becomes vested and exercisable each month thereafter.

/s/ Darin Lippoldt, Attorney-in-03/26/2021

\$0.00

49,049

Fact

Amount or Number

of Shares

49,049

** Signature of Reporting Person Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

 \ast If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

02/08/2021

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Code v

Α

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.