## SEC Form 4

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# FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

Check this box if no longer subject to
Section 16. Form 4 or Form 5
obligations may continue. See
Instruction 1(b).

### STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

## OMB APPROVAL OMB Number: 3235-0287

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	hours per response:	0.5
	Estimated average burden	

			2. Issuer Name <b>and</b> Ticker or Trading Symbol <u>NEUROCRINE BIOSCIENCES INC</u> [ NBIX ]		ionship of Reporting Person(s) to Issuer all applicable) Director 10% Owner		
(Last) (First) (Middle) 12780 EL CAMINO REAL		(Middle)	3. Date of Earliest Transaction (Month/Day/Year) 11/06/2018	Х	Officer (give title below) Chief Development	Other (specify below) Officer	
(Street) SAN DIEGO CA 92130 (City) (State) (Zip)			4. If Amendment, Date of Original Filed (Month/Day/Year)	6. Indiv Line) X	dual or Joint/Group Filing ( Form filed by One Report Form filed by More than C Person	ing Person	

#### Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)					5. Amount of Securities Beneficially Owned Following Reported	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
			Code	v	Amount	(A) or (D)	Price	Transaction(s) (Instr. 3 and 4)		(1150.4)
Common Stock	11/06/2018		М		9,420	A	\$35.99	145,417	D	
Common Stock	11/06/2018		<b>S</b> <sup>(1)</sup>		9,420	D	\$110.1027	135,997	D	
Common Stock	11/07/2018		М		5,231	A	\$35.99	141,228	D	
Common Stock	11/07/2018		<b>S</b> <sup>(1)</sup>		5,231	D	\$110	135,997	D	
Common Stock	11/07/2018		М		9,122	A	\$5.76	145,119	D	
Common Stock	11/07/2018		<b>S</b> <sup>(1)</sup>		9,122	D	\$110.0316(2)	135,997	D	
Common Stock	11/07/2018		М		15,046	A	\$8.66	151,043	D	
Common Stock	11/07/2018		<b>S</b> <sup>(1)</sup>		15,046	D	\$110.2628 <sup>(3)</sup>	135,997	D	

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities) 1. Title of Derivative Security (Instr. 3) 7. Title and Amount 3. Transaction 3A. Deemed 5. Number 6. Date Exercisable and 8. Price of 9. Number of 11. Nature 10. Conversion Date Execution Date, Transaction Expiration Date (Month/Day/Year) of Securities Derivative derivative Ownership of Indirect of or Exercise (Month/Day/Year) if any (Month/Day/Year) Code (Instr. 8) Derivative Underlying Derivative Security Beneficial Security Securities Form: Direct (D) Price of Securities (Instr. 5) Beneficially Ownership Owned Derivative Acquired (Instr. 3 and 4) or Indirect (Instr. 4) (A) or Disposed of (D) (Instr 3, 4 and 5) Security Following (I) (Instr. 4) Reported Transaction(s) (Instr. 4) Amount Number Date Expiration of Code v (A) (D) Exercisable Date Title Shares Non Qualified Commor (4) \$35.99 11/06/2018 9.420 36,764 Μ 9.420 02/05/2026 \$35.99 D Stock Stock Option Non Qualified Commor \$35.99 11/07/2018 (4) 31,533 Μ 5.231 02/05/2026 5.231 \$35.99 D Stock Stock Option Non-Qualified Common 11/07/2018 9,122 (5) 08/25/2021 \$5.76 9.122 9.121 D Μ \$5.76 Stock Stock Option Non Qualified Commor \$<mark>8.6</mark>6 11/07/2018 М 15,046 (6) 01/12/2022 15,046 \$8.66 54,048 D

#### Explanation of Responses:

1. The disposition reported in this Form 4 was effected by a broker pursuant to instructions set forth in a Rule 10b5-1 trading plan adopted by the Reporting Person at least 90 days prior to the transaction date in Box 2 above. Additionally, Issuer policy restricts the Reporting Person from amending or otherwise modifying any 10b5-1 trading plan subsequent to adoption of the plan.

Stock

2. Represents a weighted average sales price per share. These shares were sold in multiple transactions at prices ranging from \$110.00 to \$110.08. The Reporting Person has provided to the issuer, and will provide to any security holder of the issuer or the SEC staff, upon request, information regarding the number of shares sold at each price within the range.

3. Represents a weighted average sales price per share. These shares were sold in multiple transactions at prices ranging from \$110.08 to \$110.90. The Reporting Person has provided to the issuer, and will provide to any security holder of the issuer or the SEC staff, upon request, information regarding the number of shares sold at each price within the range.

4. Option granted February 05, 2016 and vested monthly over four years.

5. Option granted August 25, 2011 and vested monthly over four years.

6. Option granted January 12, 2012 and vested monthly over four years.

Remarks:

Stock

Option

/s/ Darin Lippoldt, Attorney-in- 11/08/2018

Fact

\*\* Signature of Reporting Person Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

 $^{\ast}$  If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.