## SEC Form 4

## FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

## STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940 OMB APPROVAL
OMB Number: 3235-0287
Estimated average burden
hours per response: 0.5

(Instr. 4)

			01 360		vesiment Con	Iparty Act of 1940					
1. Name and Address of Reporting Person*				r Name <b>and</b> Ticker ROCRINE B	υ.	/mbol ICES INC [ NBIX	5. Relationship of Reporting Person(s) to Issuer (Check all applicable)				
SHERWIN STEPHEN A						[	X	Director	10% 0	Owner	
								Officer (give title		(specify	
(Last) (First) (Middle) 12780 EL CAMINO REAL			3. Date 05/22/	of Earliest Transac 2019	tion (Month/D	ay/Year)		below)	below)		
			4. If Am	endment, Date of C	Driginal Filed	(Month/Day/Year)	6. Individual or Joint/Group Filing (Check Applicable				
(Street) SAN DIEGO	CA	92130					Line)	Form filed by On	e Renorting Pers	son	
SANDILGO	CA	52150						Form filed by Mo	1 0		
(Cit.)	(Ctoto)	(7:=)						Person		orang	
(City)	(State)	(Zip)									
		Table I - Noi	n-Derivative S	ecurities Acq	uired, Disp	oosed of, or Benefi	cially	Owned			
Date			2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) Disposed Of (D) (Instr. 3, 4 5)		5. Amount of Securities Beneficially Owned Following	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership	

 
 Code
 V
 Amount
 (A) or (D)
 Price
 Reported Transaction(s) (Instr. 3 and 4)

 Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned

(e.g., puts, calls, warrants, options, convertible securities)

(0.9.) parts, cance, control and cocontines,															
1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8) Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)		6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Securities Underlying Derivative Security (Instr. 3 and 4)		8. Price of Derivative Security (Instr. 5)	9. Number of derivative Securities Beneficially Owned Following Reported Transaction(s) (Instr. 4)	10. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	11. Nature of Indirect Beneficial Ownership (Instr. 4)		
				Code	v	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares				
Non- Qualified Stock Option	\$79.79	05/22/2019		A		10,000		06/22/2019 <sup>(1)</sup>	05/22/2029	Common Stock	10,000	\$0.00	10,000	D	

Explanation of Responses:

1. Option vests in 12 equal monthly installments beginning June 22, 2019.

Remarks:

## /s/ Darin Lippoldt, Attorney-in-05/23/2019

\*\* Signature of Reporting Person Date

Fact

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

\* If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.